

## **Espresso Financial Services Private Limited**

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites.

## Data for every month ending - 31/10/2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	,	7	8
1	Directly from Investors	00	00	00	00	00	00	00
2	SEBI (SCORES)	00	00	00	00	00	00	00
3	Depositories	02	02	04	03	01	00	13
4	Other Sources, ODR (ifany)	00	00	00	00	00	00	00
5	Grand Total	02	02	04	03	01	00	13

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

## Trend of monthly disposal of complaints

SN	Month	Carried forward	Received	Resolved*	Pending**
		From previous			
		month			
1	2	3	4	5	6
1	May, 2024	00	01	01	00
2	June, 2024	00	00	00	00
3	July, 2024	00	08	05	03
4	Aug, 2024	03	01	04	00
5	Sept, 2024	00	02	00	02
6	Oct, 2024	02	02	03	01
	Grand Total	05	14	13	06

<sup>\*</sup>Should include complaints of previous months resolved in the current month, ifany.

## Trend of annual disposal of complaints

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the	during the year	the end
		year	year		of the
					year
1	2020-21	00	06	06	00
2	2021-22	00	10	10	00
3	2022-23	00	11	11	00
4	2023-24	00	09	09	00
5	2024-25	00	14	13	-
	<b>Grand Total</b>	00	50	49	00

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.